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Attorney Docket: CH920000071US1 (8728-670)

In re application of:

Gimzewskí, et al.

Serial No.:

10/037,045

Group:

Art Unit 1775

OTHER THAN

Filed:

November 9, 2001

Examiner: Stein, Stephen J.

CRYSTALS COMPRISING SINGLE-WALLED CARBON NANOTUBES

Mail Stop Non Fee Amendment Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity under 37 C.F.R. § 1.9 and []

1.27 is enclosed.

No additional fee is required. [X]

The fee has been calculated as shown below:

(Col. 1) (Col. 2) (Col. 3) SMALL ENTITY SMALL ENTITY CLAIMS REMAINING HIGHEST NO. PRESENT ADDIT. ADDIT. AFTER PREVIOUSLY RATE FEE OR RATE FEE EXTRA AMENDMENT PAID FOR X 18 TOTAL 18* MINUS 34** Λ x 9 INDEP. 9* MINUS 10*** X 43 \$ x 86 \$ 0 X 290 ☐ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM X 145 \$ \$ 0 OR TOTAL \$ 0

TOTAL ADDIT. FEE \$00

* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter 20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3". The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- [] Please charge Deposit Account No. $\underline{50-0510/\text{IBM}}$ (Yorktown Heights) in the amount of \$00. Two (2) copies of this sheet are enclosed.
- [] A check in the amount of \$____ is enclosed.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under and/or 1.17 at any time during the pendency of this application, 37 C.F.R. § 1.16 or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC 1900 Hempstead Turnpike Suite 501 East Meadow, NY 11554 (516) 357-0091

Respectfully submitted,

Benjamin M. Halpern Reg. No. 46,494

Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on January 16, 2004.

Dated: